SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

or Section 30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL OMB Number 3235-0287 Estimated average burden 0.5 hours per response:

1. Name and Address <u>Frey Bridget</u>	of Reporting Person *		2. Issuer Name and Ticker or Trading Symbol <u>Redfin Corp</u> [RDFN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O REDFIN CO	(First) RPORATION	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/20/2023	x	Officer (give title below) Chief Technolog	Other (specify below)			
1099 STEWART STREET, SUITE 600 (Street) SEATTLE WA 98101			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	02/20/2023		М		982	A	(1)	359,982	D		
Common Stock	02/21/2023		F		297	D	\$8.34	359,685	D		
Common Stock	02/20/2023		М		841	A	(1)	360,526	D		
Common Stock	02/21/2023		F		255	D	\$8.34	360,271	D		
Common Stock	02/20/2023		М		1,989	A	(1)	362,260	D		
Common Stock	02/21/2023		F		602	D	\$8.34	361,658	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Anasaction ode (Instr. bervative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Restricted Stock Unit	(1)	02/20/2023		М			982	(2)	12/01/2030	Common Stock	982	\$0	4,912	D		
Restricted Stock Unit	(1)	02/20/2023		М			841	(3)	06/01/2031	Common Stock	841	\$0	7,572	D		
Restricted Stock Unit	(1)	02/20/2023		М			1,989	(4)	06/01/2029	Common Stock	1,989	\$0	1,990	D		

Explanation of Responses:

1. Restricted stock units convert into common stock on a one-for-one basis.

2. 1/4 of the restricted stock units vested on May 20, 2021, and 1/16 of the restricted stock units will vest quarterly thereafter, such that 100% of the restricted stock units will be vested by May 20, 2024.

3. 1/4 of the restricted stock units vested on May 20, 2022, and 1/16 of the restricted stock units will vest quarterly thereafter, such that 100% of the restricted stock units will be vested by May 20, 2025.

4. 1/4 of the restricted stock units vested on May 20, 2020, and 1/16 of the restricted stock units will vest quarterly thereafter, such that 100% of the restricted stock units will be vested by May 20, 2023.

Remarks:

/s/ Anthony Kappus, attorney-in-02/22/2023 fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.